



# Short read mapping

3rd Oct. 2023

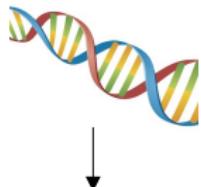
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## DNA-seq

raw reads

trimmed and  
cleaned reads

reference genome → mapping

find location of the reads and align  
them with respect to the reference

no reference genome → assembly

reconstruct the initial sequence

# Short read mapping

ACAACTGTCTGCTTCAGGAGTTAAATCTTACA-GGATGA	reference
ACAACTGTCTGCTTCAGGAGT	read1 +
CAACTGTCTG-TTCAGGAGTT	read2 +
CAACTGTCTGCTTCAGGAGTT	read3 -
TGTCTGCTTCGGGAGTTAAATCTT	read4 +
GAGTTAAATCTTACAGGGATGA	read5 -

## Multiple applications

- detection of genomic variation (SNPs) → Variants
- detection of peaks : small RNA-seq, ChIP-seq → ChIP-seq
- metagenomics analysis → Metagenomics
- ...

RNA-seq : alternative approaches → RNA-seq

Read: GACTGGGCGATCTCGACTTCG  
||||| ||||||||| |||

Reference: GACTG--CGATCTCGACATCG

Matches/mismatches/insertions/deletions



# How to align sequences, as many tools as applications

- BLAST
  - database search for homology detection
  - fast, accurate up to 85% identity
- short read mapping : Bowtie2, BWA
  - comparison of billions of short sequences against a genome
  - very fast, accurate up to 95% identity
- long read mapping : Minimap2
  - comparison of long reads against a genome
  - takes advantage of the length of reads (sampling)
  - very fast, accurate up to 85% identity
- tradeoff for speed versus sensitivity

## Why is it difficult?

- volume of the data (reads and reference genome)
- existence of sequencing errors in the reads
- orientation of read relative to reference genome not known
- existence of repetitive elements in the reference sequence
- divergence between the sequenced genome and the reference genome

## How to choose a read mapper

- input data : read length, error profile, paired end
- hardware requirements : RAM, multithreading, ...
- ease of installation and use : configurability, options, ...
- quality of results : speed, sensitivity, multiple matches, paired-end matches
- documentation, user community, maintenance



- optimized for Illumina reads
- large user-community
- well-documented and actively maintained
- suitable for all kinds of genomes

Nat Methods. 2012 Mar 4;9(4):357-9. doi: 10.1038/nmeth.1923.

## **Fast gapped-read alignment with Bowtie 2.**

Langmead B<sup>1</sup>, Salzberg SL.

+ 30 000 citations (Google Scholar)

<https://doi.org/10.1038/nmeth.1923>

## Bowtie2

- Input : FASTA file or FASTQ file
- Output

20000 reads; of these:

20000 (100.00%) were unpaired; of these:

1247 (6.24%) aligned 0 times

18739 (93.69%) aligned exactly 1 time

14 (0.07%) aligned >1 times

93.77% overall alignment rate

SAM/BAM file containing all alignments found and their scores

# SAM format

## Sequence Alignment/Map format

```
@HD VN:1.6 SO:coordinate
@SQ SN:ref LN:45
r001    99 ref 7 30 8M2I4M1D3M = 37 39 TTAGATAAAGGATACTG *
r002    0 ref 9 30 3S6M1P1I4M * 0 0 AAAAGATAAGGATA *
r003    0 ref 9 30 5S6M      * 0 0 GCCTAAGCTAA      * SA:Z:ref,29,-,6H5M,17,0;
r004    0 ref 16 30 6M14N5M   * 0 0 ATAGCTTCAGC   *
r003 2064 ref 29 17 6H5M     * 0 0 TAGGC          * SA:Z:ref,9,+,5S6M,30,1;
r001    147 ref 37 30 9M      = 7 -39 CAGCGGCAT      * NM:i:1
```

- text-based format
- header section (@)
- alignment section : one line per alignment (11 mandatory columns + optional fields)

# Alignment section

```
r001 99 ref 7 30 8M2I4M1D3M = 37 39 TTAGATAAAGGATACTG *
r002 0 ref 9 30 3S6M1P1I4M * 0 0 AAAAGATAAGGATA *
r003 0 ref 9 30 5S6M * 0 0 GCCTAAGCTAA * SA:Z:ref,29,-,6H5M,17,0;
```

1	QNAME	Query template NAME (read ID)
2	FLAG	information about the read ( <a href="#">see next slide</a> )
3	RNAME	References sequence NAME (chr, transcript,...)
4	POS	1-based leftmost mapping POSition
5	MAPQ	MAPping Quality ( <a href="#">see later</a> )
6	CIGAR	summary of alignment ( <a href="#">see later</a> )
7	RNEXT	Ref. name of the mate/NEXT read
8	PNEXT	Position of the mate/NEXT read
9	TLEN	observed Template LENgth
10	SEQ	read SEQuence
11	QUAL	read QUALity (Phred-score)

+ optional fields

# SAM FLAG

Combination (sum) of properties of the read and its alignment

template having multiple segments in sequencing → 1

each segment properly aligned according to the aligner → 2

segment unmapped → 4

next segment in the template unmapped → 8

SEQ being reverse complemented → 16

SEQ of the next segment in the template being reversed → 32

the first segment in the template → 64

the last segment in the template → 128

secondary alignment → 256

not passing quality controls → 512

PCR or optical duplicate → 1024

supplementary alignment → 2048

<https://broadinstitute.github.io/picard/explain-flags.html>

# SAM FLAG

## Examples

template having multiple segments in sequencing + the first segment in the template + next segment in the template unmapped → flag ?

99

64 (first in pair) + 32 (mate reverse strand) + 2 (read mapped in proper pair) + 1 (read paired)

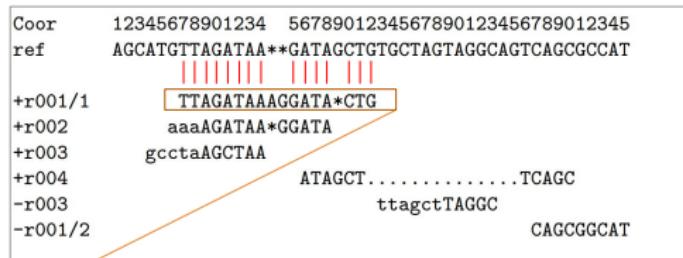
2064

2048 (supplementary alignment) + 16 (read reverse strand)

147

# CIGAR string

## Compact Idiosyncratic Gapped Alignment Report



```
@SQ SN:ref LN:45
r001 99 ref 7 30 [8M2I4M1D3M] = 37 39 TTAGATAAAGGATACTG *
r002 0 ref 9 30 3S6M1P1I4M * 0 0 AAAAGATAAGGATA *
r003 0 ref 9 30 5S6M * 0 0 GCCTAACGCTAA * SA:Z:ref,29,-,6H5M,17,0;
r004 0 ref 16 30 6M14N5M * 0 0 ATAGCTTCAGC *
r003 2064 ref 29 17 6H5M * 0 0 TAGGC * SA:Z:ref,9,+,5S6M,30,1;
r001 147 ref 37 30 9M = 7 -39 CAGCGGCAT * NM:i:1
```

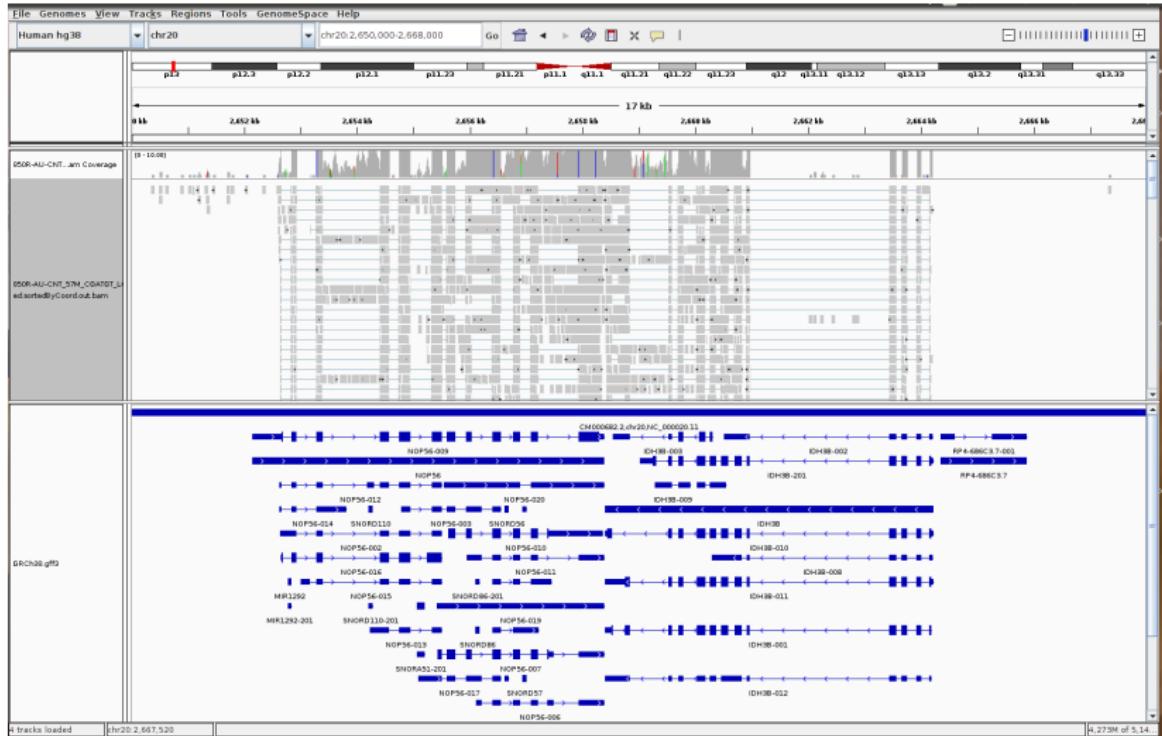
Op	BAM	Description	Consumes query	Consumes reference
M	0	alignment match (can be a sequence match or mismatch)	yes	yes
I	1	insertion to the reference	yes	no
D	2	deletion from the reference	no	yes
N	3	skipped region from the reference	no	yes
S	4	soft clipping (clipped sequences present in SEQ)	yes	no
H	5	hard clipping (clipped sequences NOT present in SEQ)	no	no
P	6	padding (silent deletion from padded reference)	no	no
=	7	sequence match	yes	yes
X	8	sequence mismatch	yes	yes

# BAM format

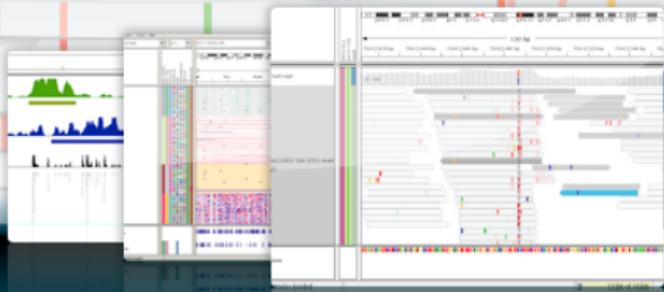
- BAM : Binary sAM
- same data as in SAM
- *binary* format, more compact
  - smaller files
  - faster treatment for computers
  - easier transfer
- BAI : Index for BAM files
  - speed up data search and retrieve in a BAM file

# Visualization of BAM files : genome browsers

- interactive visualization and exploration of genomes
- tracks : BAM files (alignments), annotation (GFF), variants (VCF), ...
- interconnection with external resources



# Integrative Genomics Viewer



# IGV – Integrative Genomics Viewer

- developed by the Broad Institute
- popular and versatile
- standalone application (2008)
- web application (2018)
- Galaxy

## Paired inputs

## Pair of files

@1/1  
AGGGATGTAGGGTTAGGGTTAGGGTTAGGGTTAGGGTTAGGGTTAGGGTTA  
+  
EGGEGGGDFGEAAAECGDEGGFEEGFBEEDECFFDD@CDD<ED  
@2/1  
AGGGATGTAGGGTTAGGGTTAGGGTTAGGGTTAGGGTTAGGGTTAGGGTTA  
+  
HHHHHHEGFHEEFEHHEHHGGEGGGGEGFGFGGGGHHHHFBEEEEEEFG

@1/2  
CCTAACCCCTAACCTAACCTAACCTAACCTAACCTAACCTAAC  
+  
GHHHDFFDFGFBGEGBEGGGHGFHFHHHHHHHEF?EFEF  
@2/2  
CCTAACCCCTAACCTAACCTAACCTAACCTAACCTAACCTAAC  
+  
HH

Arguments : -1 -2

One single interleaved dataset

Argument : --interleaved

## Description of the pairs

- relative orientation of the mates
  - ff forward forward
  - fr foward reverse
  - rf reverve forward
- fragment length (mate 1 + inner distance + mate 2)
  - l minimum length (default 0)
  - X maximum length (default 500)

## Bowtie2 output - paired reads

10000 reads; of these:

10000 (100.00%) were paired; of these:

650 (6.50%) aligned concordantly 0 times

8823 (88.23%) aligned concordantly exactly 1 time

527 (5.27%) aligned concordantly >1 times

----

650 pairs aligned concordantly 0 times; of these:

34 (5.23%) aligned discordantly 1 time

----

616 pairs aligned 0 times concordantly or discordantly

1232 mates make up the pairs; of these:

660 (53.57%) aligned 0 times

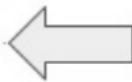
571 (46.35%) aligned exactly 1 time

1 (0.08%) aligned >1 times

96.70% overall alignment rate

- concordant
  - the pair aligns with the expected relative mate orientation and with the expected range of distances between mates
- discordant
  - both mates have unique alignments, but the alignments do not match paired-end expectations
- the alignment score for a paired-end alignment equals the sum of the alignment scores of the individual mates.

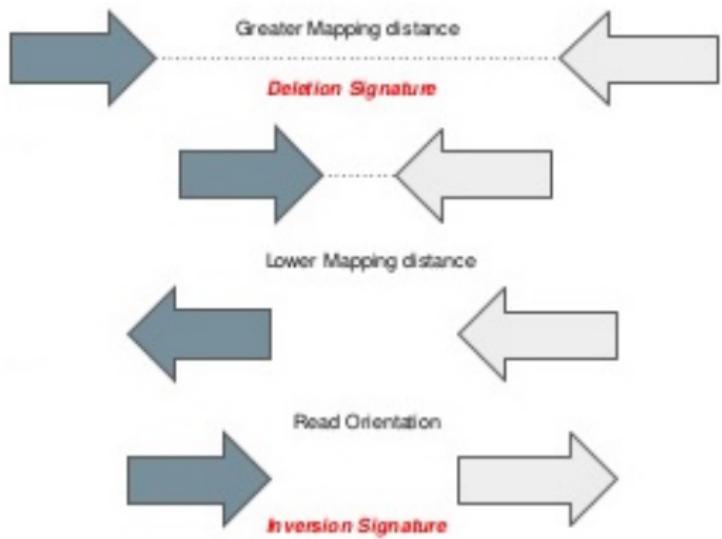
template



R1

R2

discordant



# Bowtie2

Two main ingredients

- index for the reference sequence
- seed-and-extend strategy



## Step 0 : build an index for the reference sequence

# Index

- animals 14–15
- Atlantic Ocean 5, 20, 22
- cities 20–23
- country 24–25
- Egypt 19, 21, 26
- equator 4, 6
- famous places 26–29
- Guinea 24
- Indian Ocean 5
- Kenya 25
- lakes 12–13
- languages 18–19
- Mediterranean Sea 5, 10
- Morocco 22
- mountains and deserts 8–9
- Namibia 7
- Nigeria 20
- plants 16–17
- prime meridian 4
- rivers 10–11
- Rwanda 15
- South Africa 5, 28
- Tanzania 8
- Uganda 13
- weather 6–7
- Zimbabwe

## Step 0 : build an index for the reference sequence

- compressed representation of the sequence, that is kept in memory

*"Where is this k-mer present in the sequence?"*

- Bowtie2 index : Burrows-Wheeler Transform and FM-index  
Size of the index for the human genome : 3.2 Gb
- Other indexes : hashtable, suffix array, ...

# Step 1 : extraction of seed substrings from the read and its reverse complement

Read	Read (reverse complement)
CCAGTAGCTCTCAGCCTTATTTACCCAGGCCTGTA	TACAGGCCTGGGTAAAATAAGGCTGAGAGCTACTGG
	
↓	
+ , 0: CCAGTAGCTCTCAGCC	- , 0: TACAGGCCTGGGTAAA
+ , 10: TCAGCCTTATTTACC	- , 10: GGTAATAAGGCTGA
+ , 20: TTTACCCAGGCCTGTA	- , 20: GGCTGAGAGCTACTGG

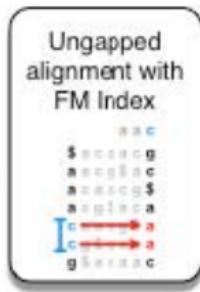
Step 2 : seed substrings are aligned to the indexed genome

## Seeds

```

+, 0: CCAGTAGCTCTCAGCC
+, 10: TCAGCCTTATTTTACC
+, 20: TTTACCCAGGCCTGTA
-, 0: TACAGGCCTGGGTAAA
-, 10: GGTAAAATAAGGCTGA
-, 20: GGCTGAGAGCTACTGG

```



## Seed alignments

(as Burrows-Wheeler ranges)

{ [211, 212], [212, 214] }

{ [653, 654],  
{ [684, 635] }

1

17

( [624, 625] )

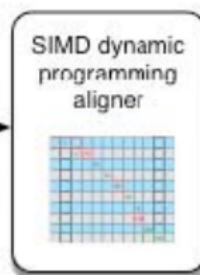
no gaps, no ambiguous character in the reference

## Step 3 : extension of seeds

... CGTCGTG CACTGCACG CATGGA ...  
|||||||  
... TCCACGT CACTGCACG CTGGAC ...  
<----- seed ----->

### Extension candidates

BW row: 684: chr12:1955  
BW row: 624: chr2:462  
BW row: 211: chr4:762  
BW row: 213: chr12:1935  
BW row: 652: chr12:1945



### SAM alignments

r1 0 chr12 1936 0  
36M \* 0 0  
CCAGTAGCTCTAGCCTTATTTACCCAGGCCTGTA  
II  
AS:i:0 XS:i:-2 XN:i:0  
XM:i:0 X0:i:0 XG:i:0  
NM:i:0 MD:Z:36 YT:Z:UU  
YM:i:0  
...

heuristics choice of seeds (randomized)

# Alignment modes

--end-to-end (default)

align the entire read from one end to the other

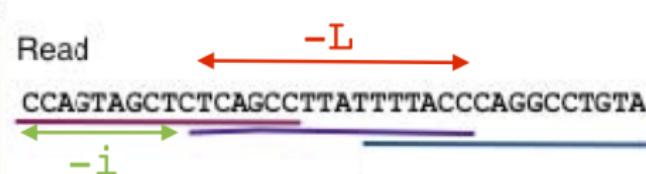
--local

some characters may be trimmed ("soft clipped") from the ends in  
order to achieve the greatest possible alignment score

## Seed options

Step 1 :

- L <int> length of the seed
- i <func> interval between extracted seeds



Step 2 :

- N 0 or 1 number of mismatches permitted per seed.  
Default 0

## Seed options

Step 3 :

-D <int>

number of times Bowtie2 will try to extend the seed in order to find a new best matching location

-R <int>

number of times Bowtie2 will start a substring with a different offset from that at the beginning before reporting the best hit.

## Alignment score

higher = more similar

Read:            GACTGGGCGATCTGACTTCG  
                  |||||    |||||||||    |||  
Reference: GACTG--CGATCTGACATCG

- base mismatch penalty
- gap open penalty
- gap extension penalty
- match reward

# Alignment score

higher = more similar

Read:            GACTGGGCGATCTGACTTCG  
                  |||||    |||||||||    |||  
Reference: GACTG--CGATCTGACATCG

- base mismatch penalty -1
- gap open penalty -5
- gap extension penalty -0.5
- match reward 1

Score ?

## Alignment score

higher = more similar

Read:            GACTGGGCGATCTGACTTCG  
                  |||||    |||||||||    |||  
Reference: GACTG--CGATCTGACATCG

- base mismatch penalty -1
- gap open penalty -5
- gap extension penalty -0.5
- match reward 1

Score : 18 matches + 1 mismatch + 1 gap of length 2  
18 -1 - 5 -0.5  
11.5

# Alignment score

higher = more similar

Read:            GACTGGGCGATCTGACTTCG  
                  |||||    |||||||||    |||  
Reference: GACTG--CGATCTGACATCG

- base mismatch penalty → depends on the quality value
- gap open penalty
- gap extension penalty
- match reward **in local mode only**

## MAPQ, mapping quality score

- related to "uniqueness" of the alignment

The greater the gap between the best alignment's score and the second-best alignment's score, the higher its mapping quality should be.

- ranges between 0 and 42
- poorly documented



# + many more options

**Do you want to tweak input options?**

No

See "Input Options" section of Help below for information

**Do you want to tweak alignment options?**

No

See "Alignment Options" section of Help below for information

**Do you want to tweak scoring options?**

No

See "Scoring Options" section of Help below for information

**Do you want to use -a or -k options**

No, do not set

Make sure you understand implications of setting -k and -a. See "Reporting Options" section of Help below for information on -k and -a options

**Do you want to tweak effort options?**

No

See "Effort Options" section of Help below for information

**Do you want to tweak SAM/BAM Options?**

No

See "Output Options" section of Help below for information

**Do you want to tweak Other Options?**

No

See "Other Options" section of Help below for information

**Would you like the output to be a SAM file**

Yes    No

By default, the output from this Bowtie2 wrapper is a sorted BAM file.

# + more in command-line mode



## Preset options

In end-to-end mode :

```
--very-fast -D 5 -R 1 -N 0 -L 22 -i S,0,2.50  
--fast -D 10 -R 2 -N 0 -L 22 -i S,0,2.50  
--sensitive -D 15 -R 2 -N 0 -L 22 -i S,1,1.15 (default)  
--very-sensitive -D 20 -R 3 -N 0 -L 20 -i S,1,0.50
```

In local mode :

```
--very-fast-local -D 5 -R 1 -N 0 -L 25 -i S,1,2.00  
--fast-local -D 10 -R 2 -N 0 -L 22 -i S,1,1.75  
--sensitive-local -D 15 -R 2 -N 0 -L 20 -i S,1,0.75 (default)  
--very-sensitive-local -D 20 -R 3 -N 0 -L 20 -i S,1,0.50
```

+ default values for all other parameters

## Do you want to use presets?

---

- No, just use defaults
  - Very fast end-to-end (--very-fast)
  - Fast end-to-end (--fast)
  - Sensitive end-to-end (--sensitive)
  - Very sensitive end-to-end (--very-sensitive)
  - Very fast local (--very-fast-local)
  - Fast local (--fast-local)
  - Sensitive local (--sensitive-local)
  - Very sensitive local (--very-sensitive-local)
-

# Reporting options

## Default

Bowtie2 returns one good alignment

No guarantee that this alignment is the best possible in terms of alignment score.

`-k <int>`

specify how many alignments to return

`-a`

return all of the found alignments

very slow



# Can I trust the results of Bowtie2 or BWA ?

- Generally, yes
- Be careful with
  - very short reads (<50 nt) with high sequencing error rate
  - low-complexity reads
  - genomes with repeats
  - genomes with high GC-content bias

